

Electronic Acknowledgement Receipt

EFS ID:	5758758
Application Number:	10697851
International Application Number:	
Confirmation Number:	9758
Title of Invention:	Price improvement processor for electronic trading of financial instruments
First Named Inventor/Applicant Name:	Thomas Peterffy
Customer Number:	26486
Filer:	Kathleen Chapman/Jeanette Collymore
Filer Authorized By:	Kathleen Chapman
Attorney Docket Number:	30894-101
Receipt Date:	23-JUL-2009
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$555
RAM confirmation Number	2399
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		01375745.pdf	522504 067486282ce85a756747bb32e01747cf9c3f177c	yes	16

Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment Copy Claims/Response to Suggested Claims	1	2
Claims	3	9
Applicant Arguments/Remarks Made in an Amendment	10	15
Extension of Time	16	16

Warnings:

Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	29806 b829d70f6a536628b84d52e378b2f14c69fa1cf7	no	2
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Warnings:

Information:

Total Files Size (in bytes):	552310
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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